

IBM DOCKET NUMBER: AUS920010515US1

PATENT:

SERIAL #:



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In application of:  Brown et al.  Serial No.: Filed: Title: <b>SELECTIVELY ADJUSTING TRANSPARENCY OF WINDOWS WITHIN A USER INTERFACE</b>	: Group Art Unit:  : Intellectual Property Law Department : International Business Machines Corp. 11400 Burnet Road : Austin, Texas 78758
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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D. C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98 (a)(1).

A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted,

A handwritten signature in cursive script that reads 'Marilyn Smith Dawkins'.

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IBM DOCKET NUMBER: AUS920010515US1



In Place of FORM PTO-1449 (Modified)

**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT**

Serial No. \_\_\_\_\_  
Applicant: Brown et al.  
Filing Date: \_\_\_\_\_  
Group: \_\_\_\_\_  
Atty. Docket No. AUS920010515US1

Reference Designation			U. S. PATENT DOCUMENTS			
<u>Examiner Initial</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>	<u>Class</u>	<u>Sub- class</u>	<u>Filing Date if Appropriate</u>
<u>AA</u>	US 5,463,775	10/31/95	System and Method for Performing Monitoring of Resources in a Data Processing System in Real Time	395	184.0 1	06/20/94
<u>AB</u>	US 5,572,672	11/05/96	Method and Apparatus for Monitoring Data processing System Resources in Real- Time	395	184.0 1	07/12/95
<u>AC</u>	US 5,651,107	07/22/97	Method and Apparatus for Presenting Information in a Display System Using Transparent Windows	395	344	08/16/94
<u>AD</u>	US 5,764,229	06/09/98	Method of and System for Updating Dynamic Translucent Windows with Buffers	345	345	05/09/96
<u>AE</u>	US 5,805,163	09/08/98	Darkened Transparent Window Overlapping an Opaque Window	345	345	04/22/96
<u>AF</u>	US 5,889,530	03/30/99	Method and Apparatus for Dynamically Presenting Graphical Representation of Instrumentation	345	440	03/14/96
<u>AG</u>	US 5,892,511	04/06/99	Method for assisting Window selection in a Graphical User Interface	345	340	09/30/96

**IBM DOCKET NUMBER: AUS920010515US1**

_____ AH	US 5,999,191	12/07/99	Method and Apparatus for Presenting Information in a Display System Using Transparent Windows	345	435	09/25/96
_____ AI	US 6,002,397	12/14/99	Window Hatches in Graphical User Interface	345	340	09/30/97
_____ AJ	US 6,049,798	04/11/00	Real Time Internal Resource Monitor for Data Processing System	707	10	06/14/94

**FOREIGN PATENT DOCUMENTS**

<u>Examiner Initial</u>	<u>Document Number</u>	<u>Date</u>	<u>Country</u>	<u>Class</u>	<u>Sub-class</u>	<u>Filing Date if Appropriate</u>
_____ BA	EP 0684542 A1		Europe	G06F	3/033	05/10/95

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

<u>Examiner Initial</u>	<u>Author</u>	<u>Title</u>	<u>Date</u>	<u>Pertinent Pages</u>
_____ CA	IBM Technical Disclosure Bulletin	"Pop-up Window Shadow"	Vol. 34, No. 6, November 1991	pp. 42-43
_____ CB	IBM Technical Disclosure Bulletin	"Translucent Window Attribute"	vol. 36, no. 06A, June 1993	pp. 135-136
_____ CC	IBM Technical Disclosure Bulletin	"Translucent Windows: Dragging an Image without Obscuring the Desktop:",	vol 37, No. 10, October 1994	p. 15
_____ CD	IBM Technical Disclosure Bulletin	"Independent Pixel Interpretation of Windowed Overlays/Underlays"	vol. 38, no. 09, September 1995	pp. 365-67
_____ CE	IBM Research Disclosure 411119	"Reducing Desktop Clutter in GUI"	July 1998	p. 995
_____ CF	IBM Research Disclosure 41876	"Non-Intrusive Controls"	February 1999	pp. 247-49

CG	IBM Research Disclosure 431180	"Progressively Animated Graphical Overlays"	March 2000	p. 592
CH	IBM DOCKET AUS920010513US1	DISPLAYING SPECIFIED RESOURCE USAGE		
CI	IBM DOCKET AUS920010514US1	DISPLAYING TRANSPARENT RESOURCE AIDS		
CJ	IBM DOCKET AUS920010516US1	CHANGING THE ALPHA LEVELS OF AN APPLICATION WINDOW TO INDICATE A STATUS OF A COMPUTING TASK		
CK	IBM DOCKET AUS920010517US1	ADJUSTING THE TINT OF A TRANSLUCENT WINDOW TO CONVEY STATUS		
CL	IBM DOCKET AUS920010518US1	ADJUSTING TRANSPARENCY OF WINDOWS TO REFLECT RECENT USE		
CM	IBM DOCKET AUS920010519US1	DISPLAYING TRANSPARENCY CHARACTERISTIC AIDS		
CN	IBM DOCKET AUS920010520US1	SPECIFYING AUDIO OUTPUT ACCORDING TO WINDOW GRAPHICAL CHARACTERISTICS		
CO	IBM DOCKET AUS920010521US1	AUTOMATIC WINDOW REPRESENTATION ADJUSTMENT		
CP	IBM DOCKET AUS920010522US1	VARYING HEIGHTS OF APPLICATION IMAGES TO CONVEY APPLICATION STATUS		
CQ	IBM DOCKET AUS920010524US1	SELECTIVELY ADJUSTING THE TRANSLUCENCY OF WINDOWS IN RESPONSE TO A SCROLL WHEEL ROTATION		
CR	IBM DOCKET AUS920010525US1	SELECTIVELY ADJUSTING THE ORDER OF WINDOWS IN RESPONSE TO A SCROLL WHEEL ROTATION		

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.